#### PATENT APPLICATION

ATTORNEY DOCKET NO. 10010240-

ort Collins, Colorado 80527-2400

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

Robert D. Bushey

Confirmation No.:

Application No.: 09/877,522

Examiner:

Filing Date:

June 8, 2001

Group Art Unit:

Title:

System and Method for Appliance Adaptation and Evolution

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COMMISSIONER FOR PATENTS Washington, D.C. 20231

APR 1 5 2003

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
  - (X) Statement under 37 CFR 1.97(e), or
  - ( ) a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

- ( ) under 37 CFR 1.97 (d) together with a:
  - ( ) Statement under 37 CFR 1.97(e)(1) or (2), and

) a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \_\$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

- Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- ( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. Date of Deposit: 4-1-0-3

OR ( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office

facsimile number Number of pages:

Typed Name: Mary Meegan

Respectfully submitted,

Robert D. Bushey

David R. Risley

Attorney/Agent for Applicant(s)

Reg. No. 39,345

Date: 4-7-03

Telephone No.: (770) 933-9500

#### PATENT APPLICATION

ATTORNEY DOCKET NO. 10010240-1



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Robert D. Bushey

Confirmation No.:

Application No.: 09/877,522

Examiner:

Filing Date:

June 8, 2001

Group Art Unit:

Title:

System and Method for Applicance Adaptation and Evolution

COMMISSIONER FOR PATENTS Washington, D.C. 20231

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STATEMENT UNDER 37 CFR 1.97(e)

**Technology Center 2100** 

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
  - -- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - -- to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Robert D. Bushey

David R. Risley, Esq.

Attorney/Agent for Applicant(s)

Reg. No.

39,345

Date: 4-7-03

Telephone No.: (770) 933-9500

# PATENT APPLICATION

PE	Sheet 1 of 1			
O - C)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION	NC
APR 1 4 2005 OF PATENTS AND BURLICATIONS FOR	10010240-1	09/877,522		
LISE OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT			
	Robert D. Bushey			
& TRADER	FILING DATE	GROUP		
(Use several sheets if necessary)	June 8, 2001			

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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EXAMINER INITIAL	_	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,023,620		Hansson	
	1B	6,177,957		Anderson	
	1C				
	1D				
	1E				RECEIVED
	1F				APR 1 5 2003
	1G				
	1H				Technology Center 2100
	1 I			,	
	13				
	1K				

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L	WO99/42924A1		Intel		X
 1M	SE9802681A		Ericsson		<b>x</b>
1N	JP11306107A		Ricoh		×
10	JP11003213A		Oki		×
1P					1

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER			DATE CONSIDERED	
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Rev 02/03 (PTO1449)